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Docket No.: 50060-042

PATENT 10-1-02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : **RECEIVED**  
Andrew Kevin MCPARLAND : **SEP 30 2002**  
Serial No.: 09/770,296 : Group Art Unit: 2631 **Technology Center 2600**  
Filed: January 29, 2001 : Examiner: not yet assigned  
For: METHOD FOR INSERTING AUXILIARY DATA IN AN AUDIO DATA STREAM

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

To ensure that these references are available to the Examiner we are providing copies. Acknowledgement and consideration of these documents are respectfully requested.

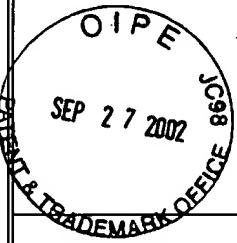
Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
Stephen A. Becker  
Registration No. 26,527

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202)756-8000 SAB:jgh  
Facsimile: (202)756-8087  
**Date: September 27, 2002**

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>			ATTY. DOCKET NO. <b>50060-042</b>	SERIAL NO. <b>09/770,296</b>			
 (PTO-1449)			<b>APPLICANT</b> <b>Andrew Kevin MCPARLAND</b>				
			FILING DATE <b>January 29, 2001</b>	GROUP <b>2631</b>			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	5,185,800	02/09/1993	Mahieux				
	4,893,308	01/09/1990	Wilson et al.		<b>RECEIVED</b>		
						SEP 30 2002	
						Technology Center 2600	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
	WO 99/04572	01/28/1999	International			Yes	No
	WO 98/33284	07/30/1998	International				
	WO 95/22858	08/24/1995	International				
	2 327 577	01/27/1999	Great Britain				
	2 253 768	09/16/1992	Great Britain				
	2,321,577	07/29/1998	Great Britain				
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	J. Audio Eng. Soc., Vol. 40, No. 5, 1992 May, Kate, Kerkhof, Zijderveld, "A NEW SURROUND-STEREO-SURROUND CODING TECHNIQUE"						
EXAMINER	DATE CONSIDERED						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.